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Transannular dipolar cycloaddition as an approach towards the synthesis of the core ring system of the sarain alkaloids[†]

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Intramolecular transannular dipolar cycloaddition was investigated as a key step in a synthetic approach to the core of the sarain alkaloids; although the use of an azomethine ylide was unsuccessful with the chosen aldehyde substrate, cycloaddition with a nitrone did give the alternative regioisomeric bridged cycloadduct.

Introduction

The sarain alkaloids, sarain A, B and C, consist of a unique polycyclic ring system containing a tricyclic core and two macrocyclic tethers with a zwitterionic structure due to the promixity of a tertiary amine and an aldehyde group (Fig. 1). These natural products were isolated in 1989 from the marine sponge Reniera sarai, and were shown to possess moderate anticancer, antibacterial and insecticidal activity.1 The sarains are thought to derive biosynthetically from the reductive condensation of a bispyridinium macrocycle.² Their fascinating structures together with their biological activity has led to several synthetic endeavors in this area. So far, there is one reported synthesis of sarain A, by Overman and co-workers, that uses a cyclization of a silyl enol ether onto an iminium ion to set up the core tricyclic ring system.³ The groups of Heathcock and Weinreb independently reported the use of aziridines as azomethine ylide precursors that undergo cycloaddition to access a bicyclic ring system.^{4,5} Subsequent cyclization of an allyl silane onto an iminium ion has provided the desired bridged tricyclic core. Cha and co-workers



Fig. 1 Sarain alkaloids.

have reported an approach that relies on an intermolecular [4+3] cycloaddition of cyclopentadiene and an oxyallyl cation.⁶ Two different strategies using conjugate addition chemistry to give a bicyclic system that was elaborated to the tricyclic core have been reported by Mons and Marazano and co-workers⁷ and by Yang and Huang.⁸ Porter has investigated an approach using aminals.⁹

Our interest in the synthesis of the marine alkaloid manzamine A using an intramolecular cycloaddition of an azomethine ylide as a key step¹⁰ prompted us to investigate a new and efficient approach to the sarain alkaloids. Our retrosynthetic analysis is shown in Scheme 1. Disconnection of the macrocyclic rings suggested that the tricyclic core 1 would be a suitable target [a variety of heteroatom substituents R, R', Boc (= CO_2 'Bu) could be chosen]. Our plan was to access this core directly from the azomethine ylide **2**. Transannular dipolar cycloaddition reactions of azomethine ylides are rare, although one example across a seven-membered ring has been reported.¹¹ The ylide **2** could be constructed *in situ* from the condensation of an aldehyde such as **3** (or **4**) and an amine.¹² In this paper we report the results of our efforts using this strategy.



Scheme 1 Disconnection approach to the core ring system.

† Electronic supplementary information (ESI) available: Procedures and data for compounds **9** to **21**; crystallographic information and ORTEP diagrams for the alcohol **8** and the amide **23**. (CCDC reference numbers 791948 and 791949 respectively). For ESI and crystallographic data in CIF or other electronic format see DOI: 10.1039/c0ob01019g

Results and Discussion

The synthesis of the aldehyde 4 is shown in Schemes 2 and 3 and started with the enantiomerically pure lactone 5 (available



Scheme 2 Preparation of oxazolidinones 9 and 10. *Reagents and conditions*: i, NaOEt, EtOH, r.t., 72%; ii, 2 equiv. NaHMDS, THF, –78 °C, allyl bromide, 70%; iii, Ca(BH₄)₂ (or LiBH₄), EtOH, r.t., 85% (or 77%); iv, Cl₃CC(=NH)OR, CH₂Cl₂, 20 mol% TMSOTf or 10 mol% camphor sulfonic acid, r.t., 18 h, R = Bn 79%, or R = PMB 81%.



Scheme 3 Preparation of aldehyde 14. *Reagents and conditions*: i, KH, PMBCl, 10 mol% Bu₄NI, THF, r.t., 22 h, 79%; ii, allyl magnesium bromide (2 equiv.), THF, -78 °C, 2.5 h, 63%; iii, 2×5 mol% Cl₂(PCy₃)[(CH₂NMes)₂C]Ru=CHPh, ClCH₂CH₂Cl, 80 °C, 9 h, 58%; iv, Dess-Martin periodinane, CH₂Cl₂, 0 °C, 2 h, 60%.

commercially but alternatively prepared from *N*-carboxybenzyl aspartic acid).¹³ Treatment of the lactone **5** with sodium ethoxide gave the oxazolidinone **6**, which was deprotonated and alkylated with allyl bromide to give a single diastereomer of the product **7**.¹⁴ The high selectivity is thought to be a result of conformational effects arising from chelation of the ester sodium enolate with the (deprotonated) oxazolidinone nitrogen atom.¹⁴ Reduction of the ester (in the presence of the oxazolidinone using calcium or lithium borohydride) and protection of the alcohol **8** gave the products **9** and **10**. The relative stereochemistry of the alcohol **8** was verified by single crystal X-ray diffraction (see ESI⁺).

The oxazolidinone **9** was protected to give the oxazolidinone **11**, which was subjected to ring-opening with allyl magnesium bromide to give the amide **12** (Scheme 3).¹⁵ Ring-closing metathesis¹⁶ then gave the 8-membered ring product **13** and oxidation of the alcohol gave the aldehyde **14** (akin to **4**).

With one of the desired aldehydes in hand, we attempted some cycloaddition reactions. There are various methods reported for the formation of azomethine ylides.¹² To generate a 'non-stabilized' ylide, one method involves decarboxylation of an intermediate oxazolidinone formed from an aldehyde and an amino-acid.¹⁷ On heating the aldehyde **14** with the amino-acid sarcosine (*N*-methyl glycine) in toluene, an inseparable mixture of many products was obtained. To generate the stabilized ylide, we heated the aldehyde

14 with sarcosine ethyl ester but this also gave a mixture of products.

As an alternative substrate, we alkylated the oxazolidinone **10** to give the oxazolidinone **15** (Scheme 4). This compound was subjected to ring-closing metathesis to give the 8-membered ring product **16**. Hydrolysis of the oxazolidinone **16** gave the aminoalcohol **17** which was protected as the N-Boc derivative **18**. Finally, oxidation of the alcohol gave the aldehyde **19** (akin to **3**).



Scheme 4 Preparation of aldehyde 19. Reagents and conditions: i, NaOH_(s), PhMe, K₂CO₃, Bu₄NHSO₄, heat, 1 h, 99%; ii, 2×3.3 mol% Cl₂(PCy₃)[(CH₂NMes)₂C]Ru=CHPh, PhMe, 40 °C, 2.5 h, 73%; iii, NaOH, EtOH, H₂O, 80 °C, 18 h, 95%; iv, Boc₂O, dioxane, H₂O, NaHCO₃, 98%; v, Dess-Martin periodinane, CH₂Cl₂, 0 °C, 2 h, 86%.

Unfortunately, we found that no discernable reaction took place on heating the aldehyde **19** with the amino-acid sarcosine or sarcosine ethyl ester or glycine ethyl ester in various solvents (PhMe, dioxane, DMF). At higher temperatures (130 °C, PhMe, sealed tube or 215 °C, DMF, microwave heating) decomposition occurred. We were able to show that the azomethine ylide was being formed by heating the aldehyde **19** with sarcosine in the presence of the dipolarophile *N*-methylmaleimide (Scheme 5). This gave a mixture of four diastereomeric products **20** from intermolecular cycloaddition.



Scheme 5 Intermolecular cycloaddition using the aldehyde 19. *Reagents and conditions*: i, MeHNCH₂CO₂H, *N*-methylmaleimide, DMF, heat, 18 h, 77%.

We were disappointed that no transannular dipolar cycloaddition of the azomethine ylides took place. This may be compounded by the unactivated nature of the alkene (electron-withdrawing groups are known to promote such cycloadditions).¹² We were keen to demonstrate that dipolar cycloaddition was possible and were aware that nitrones are typically more amenable to cycloaddition with a range of alkene dipolarophiles.¹⁸ Therefore, we heated the aldehyde **19** with *N*-methyl-hydroxylamine and were pleased to find that a single product was formed (65% yield as an oil) that had the desired mass and no longer contained the alkene functional group (see ESI†). We were unable to determine the regiochemistry of the cycloaddition from the spectroscopic data and attempts to deprotect the Boc and/or PMB groups with acid gave a very polar product that did not provide any desired amide or ester products after acylation. Therefore, to avoid problems with concommitant deprotection of both the Boc and PMB groups, we converted the oxazolidinone **9** through the same sequence as performed with oxazolidinone **10** (shown in Scheme 4). This gave the analogous aldehyde **21** with which we carried out the same cycloaddition reaction using *N*-methyl-hydroxylamine (Scheme 6).



Scheme 6 Nitrone cycloaddition using the aldehyde 21. *Reagents and conditions*: i, MeNHOH·HCl, EtOH, NaHCO₃, sealed tube, 125 °C, 4 h, 73% (22a).

We were pleased to find that a single cycloadduct was formed (73% yield), although once again we were unclear as to the regiochemistry of the cycloaddition reaction (product **22a** or **22b**). Removal of the N-Boc group with TFA and treatment with *p*-bromobenzoyl chloride gave a crystalline product, for which X-ray crystal structure analysis indicated the structure **23** (Fig. 2).



Fig. 2 X-ray structure of 23 (Ar = C_6H_4 -*p*-Br), derived from 22a.

The compound 23 must have derived from the cycloadduct 22a (rather than 22b), thereby showing that the cycloaddition of the nitrone (derived from aldehyde 21) had occurred to give the undesired regiochemistry. After removal of the N-Boc group from 22a and treatment with *p*-bromobenzoyl chloride, the amide 23 must have formed by acylation of both nitrogen atoms, together with breakage of the N–O bond and N-demethylation. It is not clear how this occurs, but one possibility is that acylation of the tertiary amine gives a quaternary ammonium salt that could fragment (N–O bond breakage) to give an iminium ion that hydrolyses to the secondary amide.

Conclusions

We have shown that a transannular dipolar cycloaddition onto an unactivated alkene in an eight-membered ring is possible using a nitrone, but not using an azomethine ylide. This led to the undesired regioisomer of the bridged tricyclic product. To form the desired pyrrolidine ring present in the sarain alkaloids using this approach, further work will be required to direct the regiochemistry and also allow cycloaddition of the azomethine ylide, possibly by activating the alkene dipolarophile with a suitable electron-withdrawing group.

Experimental

General methods

For general experimental details, including information on solvent purifications and the spectrometers used in this research, see previous descriptions.¹⁹ For procedures and spectroscopic data for compounds not reported below, together with crystallographic data for the alcohol **8** and the amide **23**, see ESI.[†]

(S)-Ethyl 2-(2-oxo-oxazolidin-4-yl)acetate 6. Freshly prepared NaOEt (1.0 M, 85 mL, 85 mmol) was added to lactone 5²⁰ (8 g, 34 mmol) in EtOH (170 mL) at room temperature. After 2 h, HCl (1.0 M, 85 mL) was added and the mixture was extracted with CH_2Cl_2 (3×100 mL), dried (MgSO₄) and evaporated. Purification by column chromatography on silica, eluting with EtOAc-Et₂O (1:4 to 3:2), gave the oxazolidinone 6 (4.2 g, 72%) as an oil; $[\alpha]_{\rm p}^{20}$ -14.2 (2.3, MeOH); $v_{\rm max}/{\rm cm}^{-1}$ 3285, 1750, 1720; ¹H NMR $(250 \text{ MHz}, C_6 D_6) \delta = 6.16 - 5.99 (\text{br}, 1\text{H}), 3.80 (q, 2\text{H}, J7 \text{Hz}), 3.68$ (t, 1H, J 8 Hz), 3.36-3.25 (m, 1H), 3.22 (dd, 1H, J 8, 6 Hz), 1.83 (dd, 1H, J 17, 7.5 Hz), 1.62 (dd, 1H, J 17, 5.5 Hz), 0.88 (t, 3H, J 7 Hz); ¹³C NMR (75 MHz, CDCl₃) δ = 170.4, 159.1, 69.4, 61.2, 48.9, 39.6, 14.5; HRMS (EI) found 173.0689, C7H11NO4 requires (M) 173.0688; m/z (EI) 173 (5%), 129 (45), 86 (100); Found: C, 48.82; H, 6.55; N, 7.89; C₇H₁₁NO₄ requires C, 48.55; H, 6.40; N, 8.09%. Compound 6 has been reported, but no characterization data were given.14

(R)-Ethyl 2-[(S)-2-oxo-oxazolidin-4-yl]pent-4-enoate 7. Oxazolidinone 6 (0.2 g, 1.2 mmol) in THF (3 mL) was added to NaN(TMS)₂ (0.47 g, 2.43 mmol) in THF (6 mL) at -78 °C over 5 min. After 1 h, allyl bromide (0.2 mL, 2.4 mmol) in THF (3 mL) was added over 5 min and the mixture was warmed to -40 °C. After 4 h, saturated NH₄Cl solution (20 mL) was added, the mixture was extracted with CH_2Cl_2 (3×20 mL), dried (MgSO₄) and evaporated. Purification by column chromatography on silica, eluting with EtOAc-petrol (1:5 to 1:0), gave the oxazolidinone 7 as a single diastereoisomer (0.18 g, 70%) as an oil; $[\alpha]_{D}^{20}$ -24.5 (2.5, CHCl₃); $v_{\rm max}/{\rm cm}^{-1}$ 3255, 1750, 1720, 1640; ¹H NMR (400 MHz, CDCl₃) δ = 6.31–6.24 (br, 1H), 5.68 (ddt, 1H, J 17, 10, 7.5 Hz), 5.14–5.05 (m, 2H), 4.46 (t, 1H, J 8.5 Hz), 4.21-4.14 (m, 3H), 4.11-4.06 (m, 1H), 2.64 (td, 1H, J 7.5, 5.5 Hz), 2.43–2.28 (m, 2H), 1.26 (t, 3H, J 7 Hz); ¹³C NMR (100 MHz, CDCl₃) δ = 172.4, 159.2, 133.3, 118.4, 67.9, 61.3, 52.8, 49.5, 32.4, 14.1; HRMS (EI) found 213.0999, C₁₀H₁₅NO₄ requires (M) 213.1001; *m/z* (EI) 213 (20), 171 (50), 128 (100); Found: C, 56.03; H, 7.28; N, 6.32; C₁₀H₁₅NO₄ requires C, 56.33; H, 7.09; N, 6.57%. Compound 7 has been reported, but no characterization data were given.¹⁴

(S)-4-[(R)-1-Hydroxypent-4-en-2-yl]oxazolidin-2-one 8. NaBH₄ (0.92 g, 24 mmol) was added to oxazolidinone 7 (0.43 g, 2 mmol) and dry CaCl₂ (1.34 g, 12 mmol) in dry EtOH (63 mL) at 0 °C and the mixture was allowed to warm to room temperature. After 16 h, saturated CaCO₃ (23 mL) and sodium potassium tartrate (62 mL, 1.0 M) were added. The mixture was extracted with EtOAc (3 × 60 mL), dried (MgSO₄) and evaporated. Purification by column chromatography on silica, eluting with EtOAc–petrol (7 : 3), gave the alcohol **8** (0.29 g, 85%) as needles; m.p. 57–58 °C; $[\alpha]_D^{20}$ 9.3 (2.5, MeOH); v_{max}/cm^{-1} 3350, 2960, 2870, 1725; ¹H NMR (250 MHz, CDCl₃) δ = 6.97–6.90 (br, 1H), 5.91–5.63 (m, 1H), 5.11–5.02 (m, 2H), 4.47 (t, 1H, *J* 8.5 Hz), 4.20–4.13 (m, 1H), 3.96–3.89 (m, 1H), 3.75 (dd, 1H, *J* 11, 4 Hz) 3.59 (dd, 1H, *J* 11, 6 Hz), 3.56–3.39 (br, 1H), 2.07–2.00 (m, 2H), 1.77–1.71 (m, 1H); ¹³C NMR (63 MHz, CDCl₃) δ = 160.5, 135.1, 117.6, 69.5, 62.2, 54.7, 44.5, 31.9; HRMS (EI) found 172.0973, C₈H₁₄NO₃ requires (MH) 172.0970; *m*/*z* (EI) 172 (10%), 140 (100); Found: C, 55.79; H, 7.58; N, 8.09; C₈H₁₃NO₃ requires C, 56.13; H, 7.65; N, 8.18%.

(S)-4-[(R)-1-(Benzyloxy)pent-4-en-2-yl]oxazolidin-2-one 9. TMSOTf (0.29 mL, 1.63 mmol) was added to the alcohol 8 (1.4 g, 8.2 mmol) and benzyl trichloroacetimidate (1.6 mL, 9.0 mmol) in CH₂Cl₂ (140 mL) at 0 °C and the mixture was allowed to warm to room temperature. After 18 h, the solvent was evaporated and the mixture was purified by column chromatography on silica, eluting with EtOAc-petrol (1:3), to give the ether 9 (1.3 g, 79%)as an oil; $[\alpha]_{D}^{22}$ -6.0 (0.5, CH₂Cl₂); v_{max}/cm^{-1} 3260, 2910, 2860, 1740, 1640; ¹H NMR (250 MHz, CDCl₃) δ = 7.35–7.20 (m, 5H), 5.92 (br, 1H), 5.77-5.60 (m, 1H), 5.10-5.00 (m, 2H), 4.56-4.39 (m, 3H), 4.17 (dd, 1H, J 8.5, 7 Hz), 3.90 (q, 1H, J 7 Hz), 3.55 (dd, 1H, J 9.5, 4 Hz), 3.44 (dd, 1H, J 9.5, 6.5 Hz), 2.05 (t, 2H, J 7 Hz), 2.00–1.75 (m, 1H); ¹³C NMR (63 MHz, CDCl₃) δ = 159.9, 137.9, 135.0, 128.5, 127.8, 127.7, 117.7, 73.4, 70.2, 68.8, 54.9, 42.6, 31.8; HRMS (ES) found 284.1253, C₁₅H₁₉NO₃Na requires (MNa) 284.1263; m/z (ES) 284 (100%), 262 (22).

(S)-4-[(R)-1-(4-Methoxybenzyloxy]pent-4-en-2-yl)oxazolidin-2one 10. To a suspension of NaH (50 mg, 2 mmol) in Et_2O (28 ml) was added *p*-methoxybenzyl alcohol (2.4 mL, 20 mmol) at room temperature. After 30 min, the mixture was cooled to 0 °C and Cl₃CCN (2.1 mL, 20 mmol) was added. The mixture was allowed to warm to room temperature. After 4 h, the solvent was evaporated, then petrol (30 mL) and MeOH (1 mL) were added. The mixture was filtered through celite and evaporated. To this oil was added alcohol 8 (1.7 g, 9.9 mmol) in CH₂Cl₂ (56 mL) and CSA (250 mg, 1 mmol) at room temperature. After 18 h, saturated aqueous NaHCO₃ (15 mL) was added, the mixture was extracted with Et_2O (3 × 60 mL), and the organic layers were combined, washed with water (100 mL), dried (MgSO₄) and evaporated. Purification by column chromatography on silica, eluting with EtOAc-petrol (1:4 to 4:5) gave the ether 10 (2.53 g, 81%) as an oil; $[\alpha]_{D}^{20}$ -2.0 (1.0, CH₂Cl₂); v_{max} /cm⁻¹ 3260, 2910, 2860, 1750, 1640; ¹H NMR (250 MHz, CDCl₃) δ = 7.23 (d, 2H, J 8.5 Hz), 6.90 (d, 2H, J 8.5), 5.77–5.61 (m, 1H), 5.53 (br, 1H), 5.08–5.02 (m, 2H), 4.48-4.45 (m, 1H), 4.41 (br, 2H), 4.18-4.12 (dd, 1H, J 8.5, 7 Hz), 3.91–3.84 (m, 1H), 3.82 (s, 3H), 3.54 (dd, 1H, J 9.5, 4 Hz), 3.37 (dd, 1H, J 9.5, 7 Hz), 2.10-1.99 (m, 2H), 1.97-1.84 (m, 1H); ¹³C NMR (63 MHz, CDCl₃) δ = 159.6, 159.3, 134.9, 129.8, 129.4, 117.6, 113.9, 73.0, 70.1, 68.8, 55.3, 55.0, 42.6, 31.9; HRMS (ES) found 314.1356, C₁₆H₂₁NO₄Na requires (MNa) 314.1368.

(S)-4-[(R)-1-Benzyloxymethyl-but-3-enyl]-3-(4-methoxybenzyl)oxazolidin-2-one 11. The oxazolidinone 10 (1.50 g, 8.76 mmol) in THF (15 mL) was added to a suspension of KH (30% in oil, 1.40 g, 10.5 mmol, prewashed with dry pentane under N_2) in THF (30 mL) at 0 °C and the mixture was heated under reflux. After 20 min, the mixture was cooled to room temp. and *n*-Bu₄NI (330 mg, 0.88 mmol) and p-methoxybenzyl chloride (1.37 mL, 10.1 mmol) were added. After 22 h, brine (15 mL) was added and the mixture was extracted with EtOAc $(3 \times 20 \text{ mL})$. The combined organic extracts were washed with brine, dried (Na₂SO₄), filtered and evaporated. Purification by column chromatography on silica, eluting with EtOAc-petrol (1:4), gave the oxazolidinone 11 (1.73 g, 79%) as an oil; $[\alpha]_{p}^{22}$ +18.2 (0.55, CH₂Cl₂); v_{max}/cm^{-1} 2905, 1740; ¹H NMR (250 MHz, CDCl₃) δ = 7.34–7.11 (m, 5H), 7.14 (d, 2H, J 8.5 Hz), 6.83 (d, 2H, J 8.5 Hz), 5.64-5.44 (m, 1H), 5.03–4.89 (m, 2H), 4.67 (d, 1H, J 15 Hz), 4.35 (ABq, 2H, J 12 Hz), 4.20-4.13 (m, 2H), 4.02 (d, 1H, J 15 Hz), 3.85 (td, 1H, J 7.5, 2 Hz), 3.77 (s, 3H), 3.40–3.26 (m, 2H), 2.10–1.91 (m, 3H); ¹³C NMR (63 MHz, CDCl₃) δ = 159.0, 158.6, 137.6, 135.3, 129.3, 128.1, 127.7, 127.5, 127.2, 116.9, 113.9, 72.9, 68.8, 63.7, 56.2, 55.0, 45.5, 37.9, 28.2; HRMS (ES) found 404.1843, C23H27NO4Na requires (MNa) 404.1838; Found: C, 72.60; H, 7.00; N, 3.38; C₂₃H₂₇NO₄ requires C, 72.42; H, 7.13; N, 3.67%.

But-3-enoic acid [(1S,2R)-2-benzyloxymethyl-1-hydroxymethylpent-4-enyl]-(4-methoxybenzyl)amide 12. Allyl magnesium bromide (2.83 mL, 2.83 mmol, 1 M in Et₂O) was added over 5 min to the oxazolidinone 11 (540 mg, 1.41 mmol) in THF (10 mL) at -78 °C. After 2.5 h, saturated NH₄Cl_(aq) (5 mL) was added, the mixture was allowed to warm to room temp., and was extracted with Et₂O (3×15 mL). The combined organic extracts were dried (Na₂SO₄), filtered and evaporated. Purification by column chromatography on silica, eluting with EtOAc-petrol (1:3), gave the diene **12** (380 mg, 63%) as an oil; $[\alpha]_{D}^{22}$ -8.0 (0.5, CH₂Cl₂); *v*_{max}/cm⁻¹ 3405, 3075, 2935, 2865, 1635, 1615; ¹H NMR (250 MHz, $CDCl_3$) $\delta = 7.40-7.24$ (m, 5H), 7.03 (d, 2H, J 8.5 Hz), 6.84 (d, 2H, J 8.5 Hz), 6.05-5.65 (m, 2H), 5.24-4.94 (m, 4H), 4.58 (d, 1H, J 16 Hz), 4.49 (ABq, 2H, J 12 Hz), 4.16 (d, 1H, J 16 Hz), 3.79 (s, 3H), 3.74-3.61 (m, 2H), 3.53 (dd, 1H, J 9.5, 3 Hz), 3.39 (dd, 1H, J 9.5, 2.5 Hz), 3.34-3.12 (m, 3H), 2.74-2.59 (m, 1H), 2.43-2.30 (m, 1H), 2.21–2.04 (m, 1H); ¹³C NMR (63 MHz, CDCl₃) δ = 173.5, 159.4, 138.3, 136.7, 131.3, 129.1, 128.5, 128.3, 128.1, 127.9, 118.6, 116.7, 114.4, 73.4, 68.4, 64.2, 63.9, 55.4, 54.2, 39.9, 35.5, 32.6; HRMS (ES) found 424.2486, C₂₆H₃₄NO₄ requires (MH) 424.2488; Found: C, 73.82; H, 7.96; N, 3.02; C₂₆H₃₃NO₄ requires C, 73.73; H, 7.85; N. 3.31%.

(Z)-(7R,8S)-7-Benzyloxymethyl-8-hydroxymethyl-1-(4-methoxybenzyl)-3,6,7,8-tetrahydro-1H-azocin-2-one 13. Grubbs catalyst 2nd generation catalyst (38 mg, 0.045 mmol) in 1,2dichloroethane (2 mL) was added via canula to the diene 12 (380 mg, 0.90 mmol) in degassed 1,2-dichloroethane (400 mL) at 80 °C under N2. After 4.5 h, an additional portion of GrubbsII catalyst (38 mg, 0.045 mmol) in 1,2-dichloroethane (2 mL) was added and heating was continued. After 4 h, the mixture was cooled to room temp. and the solvent was evaporated. Purification by column chromatography on silica gel, eluting with EtOAcpetrol (1:1) + 1% v/v Et₃N, gave the oxazolidinone 13 (270 mg, 58%) as an oil; $[\alpha]_{D}^{22}$ +28.6 (0.55, CH₂Cl₂); v_{max} /cm⁻¹ 3385, 2930, 2870, 1610; ¹H NMR (250 MHz, CDCl₃) δ = 7.45–7.22 (m, 5H), 7.14 (d, 2H, J 9 Hz), 6.71 (d, 2H, J 9 Hz), 5.70–5.55 (m, 2H), 5.14 (d, 1H, J 15 Hz), 4.51 (ABq, 2H, J 12 Hz), 4.42-4.30 (m, 1H), 4.10–3.93 (m, 1H), 3.82 (d, 1H, J 15 Hz), 3.77 (s, 3H), 3.75– 3.65 (m, 1H), 3.58–3.15 (m, 3H), 2.27–1.95 (m, 2H), 1.78–1.58 (m, 2H); ¹³C NMR (63 MHz, CDCl₃) δ = 174.3, 158.9, 137.5, 131.0, 128.7, 128.5, 128.3, 128.1, 127.9, 126.6, 114.2, 73.6, 71.5, 62.4, 61.1, 55.3, 46.4, 42.1, 40.1, 26.3; HRMS (ES) found 396.2172, $C_{24}H_{30}NO_4$ requires (MH) 396.2175; Found: C, 72.60; H, 7.00; N, 3.38; $C_{24}H_{29}NO_4$ requires C, 72.89; H, 7.39; N, 3.54%.

(Z)-(2S,3R)-3-Benzyloxymethyl-1-(4-methoxy-benzyl)-8-oxo-1,2,3,4,7,8-hexahydro-azocine-2-carbaldehyde 14. Dess-Martin periodinane (118 mg, 0.28 mmol) was added to the lactam 13 (100 mg, 0.25 mmol) in CH₂Cl₂ (2 mL) at 0 °C. After 2 h, Et₂O (10 mL) and petrol (10 mL) were added, the mixture was filtered on a short pad of silica (rinsing with Et₂O-petrol, 1:1). The solvent was evaporated to give the aldehyde 14 (60 mg, 60%) as an oil; $[\alpha]_{\rm D}^{22}$ -37.5 (0.56, CH₂Cl₂); v_{max}/cm⁻¹ 2960, 2920, 2850, 1725, 1655; ¹H NMR (250 MHz, CDCl₃) $\delta = 9.47$ (s, 1H), 7.35–7.16 (m, 5H), 7.15 (d, 2H, J 8.5 Hz), 6.65 (d, 2H, J 8.5 Hz), 5.85-5.63 (m, 2H), 4.72 (br d, 1H, J 14 Hz), 4.48–4.42 (m, 1H), 4.38 (ABq, 2H, J 12 Hz), 4.19 (br d, 1H, J 14 Hz), 3.70 (s, 3H), 3.55–3.35 (m, 2H), 3.06 (dd, 1H, J 14, 4.5 Hz), 2.87 (dd, 1H, J 14, 5.5 Hz), 2.40-2.15 (m, 2H), 1.95–1.82 (m, 1H); ¹³C NMR (63 MHz, CDCl₃) δ = 198.8, 173.7, 159.0, 137.0, 129.8, 128.5, 127.9, 127.8, 114.0, 73.2, 71.9, 66.4, 55.3, 50.8, 43.2, 29.8, 24.8; HRMS (ES) found 416.1830, $C_{24}H_{27}NO_4Na$ requires (MNa) 416.1838; m/z (ES) 416 (48%), 394 (100).

(S)-4-[(R)-1-(4-Methoxybenzyloxy)pent-4-en-2-yl]-3-(but-3enyl)oxazolidin-2-one 15. NaOH (610 mg, 15 mmol), K₂CO₃ (620 mg, 4.5 mmol) and n-Bu₄NHSO₄ (70 mg, 0.2 mmol) were added to the oxazolidinone 10 (640 mg, 2 mmol) in PhMe (11 mL). To this mixture was added 4-bromo-1-butene (0.64 mL, 6.1 mmol) and the mixture was heated under reflux. After 30 min, water (15 mL) was added and the mixture was extracted with Et_2O (3 × 40 mL). The organic layers were dried (MgSO₄) and evaporated to give the diene **15** (697 mg, 99%) as an oil; $[\alpha]_{D}^{20}$ 7.6 (7.5, MeOH); v_{max}/cm⁻¹ 2910, 2860, 1740, 1640, 1610; ¹H NMR (250 MHz, $CDCl_3$) $\delta = 7.21$ (d, 2H, J 9 Hz), 6.90 (d, 2H, J 9 Hz), 5.82– 5.67 (m, 2H), 5.14-5.04 (m, 4H), 4.42 (d, 1H, J 11.5 Hz), 4.36 (d, 1H, J 11.5 Hz), 4.2-4.14 (m, 2H), 4.09-4.05 (m, 1H), 3.82 (s, 3H), 3.59 (dt, 1H, J 14, 7.5 Hz), 3.48 (dd, 1H, J 9.5, 3.5 Hz) 3.37 (dd, 1H, J 9.5, 6 Hz), 3.06-2.95 (ddd, 1H, J 14, 7, 5.5 Hz), 2.37-2.23 (m, 2H), 2.13–2.01 (m, 3H); ¹³C NMR (63 MHz, CDCl₃) $\delta = 159.2, 158.5, 135.4, 134.6, 129.8, 129.2, 117.3, 117.2, 113.8,$ 72.9, 68.7, 63.8, 56.3, 55.2, 41.1, 38.4, 31.6, 28.6; HRMS (ES) found 368.1854, C₂₀H₂₇NO₄Na requires (MNa) 368.1838; Found: C, 69.31; H, 7.84; N, 4.00; C₂₀H₂₇NO₄ requires C, 69.54; H, 7.88; N, 4.05%.

(10*R*, 10a*S*, *Z*)-10-[(4-Methoxybenzyloxy)methyl]-5,6, 10, 10atetrahydro-1*H*-oxazolo[3,4-a]azocin-3(9*H*)-one 16. Diene 15 (116 mg, 0.34 mmol) was added to de-gassed dry PhMe (85 mL) at room temperature. Grubbs 2nd generation ruthenium catalyst¹⁴ (9.5 mg, 0.007 mmol, 3.3 mol%) was added at 40 °C. After 1 h, further catalyst (9.5 mg, 0.0073 mmol, 3.3 mol%) was added. After a further 1 h, DMSO (0.5 mL) was added and the mixture was allowed to cool to room temperature. After 18 h, the solvent was evaporated, and the residue was purified by column chromatography on silica, eluting with EtOAc-petrol (1:9 to 2:3), to give the alkene 16 (78.6 mg, 73%) as an oil; $[\alpha]_D^{20} -21.1$ (2.5, CH_2Cl_2); v_{max}/cm^{-1} 2930, 2860, 1740, 1610; ¹H NMR (500 MHz, $CDCl_3$) δ = 7.23 (d, 2H, J 9 Hz), 6.89 (d, 2H, J 9 Hz), 5.68–5.58 (m, 2H), 4.41 (d, 1H, J 11.5 Hz), 4.37 (d, 1H, J 11.5 Hz), 4.23–4.13 (m, 3H), 3.85–3.77 (m, 1H), 3.81 (s, 3H), 3.39 (dd, 1H, *J* 10, 6 Hz), 3.34 (dd, 1H, *J* 10, 7.5, Hz), 3.12 (ddd, 1H, *J* 15, 4, 3 Hz), 2.69–2.64 (m, 1H), 2.60–2.52 (m, 1H), 2.30 (m, 2H), 2.17–2.13 (m, 1H); ¹³C NMR (125 MHz, CDCl₃) δ = 159.3, 129.8, 129.5, 129.3, 125.7, 113.8, 73.1, 70.3, 63.7, 57.4, 55.2, 43.0, 39.7, 27.6, 26.7; HRMS (ES) found 340.1517, C₁₈H₂₃NO₄Na requires (MH) 340.1525.

(Z)-{3-[(4-Methoxybenzyloxy)methyl]-1,2,3,4,7,8-hexahydroazocin-2-yl}methanol 17. NaOH (342 mg, 8.56 mmol) in ethanol (5.2 mL) and water (1.7 mL) was added to oxazolidinone 16 (435 mg, 1.52 mmol) and the mixture was heated under reflux. After 18 h, CH₂Cl₂ (10 mL) was added and the mixture was washed with brine $(3 \times 15 \text{ mL})$. The organic layer was dried (MgSO₄) and the solvent was evaporated. Purification by column chromatography on silica, eluting with CH₂Cl₂-MeOH-NH₃ (95:5:1), gave the amine **17** (387 mg, 95%) as an oil; $[\alpha]_{D}^{20} - 8.1$ (3.8, MeOH); v_{max}/cm^{-1} 3380, 3330, 3010; ¹H NMR (250 MHz, CDCl₃) δ = 7.25 (d, 2H, J 8.5 Hz), 6.89 (d, 2H, J 8.5 Hz), 5.85–5.64 (m, 2H), 4.42 (s, 2H), 3.81 (s, 3H), 3.52-3.38 (m, 4H), 3.10-3.01 (m, 1H), 2.89 (dt, 1H, J7, 4 Hz), 2.61–2.47 (m, 2H), 2.30–1.87 (m, 4H); ¹³C NMR (63 MHz, CDCl₃) δ = 159.3, 130.4, 130.0, 129.8, 129.3, 113.8, 73.2, 71.1, 64.7, 59.6, 55.3, 49.3, 41.8, 30.3, 28.5; HRMS (ES) found 292.1912, C₁₇H₂₆NO₃ requires (MH) 292.1913.

(Z)-tert-Butyl 3-((4-methoxybenzyloxy)methyl)-2-(hydroxymethyl)-3,4,7,8-tetrahydroazocine-1(2H)-carboxylate 18. NaHCO₃ (72 mg, 0.86 mmol) in water (1.25 mL) was added to the amine 17 (249 mg, 0.86 mmol) in dioxane (2.5 mL) at room temperature. After 10 min, further NaHCO3 was added until the pH of the solution reached 10. To the mixture was added di-tert-butyldicarbonate (0.2 mL, 0.86 mmol). After 18 h, water (10 mL) was added and the mixture was extracted with CH₂Cl₂ $(3 \times 10 \text{ mL})$. The organic layers were dried (MgSO₄), evaporated and purified by column chromatography on silica, eluting with EtOAc-petrol (1:1), to give the carbamate 18 (329 mg, 98%) as an oil; $[\alpha]_{D}^{20}$ 2.9 (0.7, MeOH); v_{max} /cm⁻¹ 3430, 3010, 2920, 2860, 1690; ¹H NMR (500 MHz, CDCl₃) δ = 7.27–7.19 (m, 2H), 6.88–6.82 (m, 2H), 5.79-5.67 (m, 2H), 4.52-4.38 (m, 2H), 4.13-3.87 (m, 4H), 3.81 (s, 3H), 3.52-3.34 (m, 2H), 2.72-2.62 (m, 1H), 2.41-2.35 (m, 1H), 2.31–1.98 (m, 4H), 1.45 (s, 9H); ¹³C NMR (125 MHz, $CDCl_3$) $\delta = 159.1, 155.7, 130.7, 130.6, 129.3, 129.1, 113.8, 79.4,$ 72.7, 72.6, 63.4, 61.8, 55.3, 50.8, 43.5, 28.5, 27.2, 27.1; HRMS (ES) found 414.2241, C₂₂H₃₃NO₅Na requires (MNa) 414.2256.

(Z)-tert-Butyl 3-[(4-methoxybenzyloxy)methyl]-2-formyl-3,4,7, 8-tetrahydroazocine-1(2H)-carboxylate 19. Dess-Martin periodinane (330 mg, 0.76 mmol) was added to the alcohol 18 (259 mg, 0.66 mmol) in CH₂Cl₂ (3.6 mL) at 0 °C and the mixture was allowed to warm to room temperature. After 4 h, NaOH (1 M, 2.6 mL) was added and the mixture was extracted with Et₂O (3 \times 10 mL). The organic layers were dried (MgSO₄) and evaporated to give the aldehyde **19** (221 mg, 86%) as an oil; $[\alpha]_{D}^{20}$ -22.4 (1.05, CH₂Cl₂); *v*_{max}/cm⁻¹ 2930, 2860, 1735, 1685; ¹H NMR (250 MHz, $CDCl_3$, mixture of rotamers) $\delta = 9.58$ (s, 0.5H), 9.53 (s, 0.5H), 7.27 (d, 2H, J 8.5 Hz), 6.89-6.83 (m, 2H), 5.90-5.66 (m, 2H), 4.55 (d, 0.5H, J 11.5 Hz), 4.52 (d, 0.5H, J 11.5 Hz), 4.45-4.40 (m, 0.5H), 4.39 (d, 0.5H, J 11.5 Hz), 4.32 (d, 0.5H, J 11.5 Hz), 4.19-4.10 (m, 0.5H), 3.82-3.71 (m, 1H), 3.81 (s, 3H), 3.68-3.48 (m, 1H), 3.21-3.07 (m, 1H), 2.76–2.34 (m, 4H), 2.20–1.97 (m, 2H), 1.47 (s, 4.5H), 1.36 (s, 4.5H); ¹³C NMR (63 MHz, CDCl₃, mixture of rotamers)
$$\begin{split} &\delta = 199.4, \, 198.5, \, 159.2, \, 159.0, \, 155.8, \, 154.7, \, 131.0, \, 130.8, \, 130.6, \\ &130.4, \, 130.2, \, 129.6, \, 129.3, \, 129.1, \, 113.8, \, 113.6, \, 82.2, \, 80.6, \, 72.6, \\ &72.4, \, 71.3, \, 70.9, \, 68.2, \, 67.9, \, 55.2, \, 49.7, \, 49.4, \, 42.3, \, 41.5, \, 28.3, \, 28.1, \\ &27.8, \, 27.6, \, 27.4, \, 27.3; \, \text{HRMS (ES) found } 412.2113, \, \text{C}_{22}\text{H}_{31}\text{NO}_5\text{Na} \\ &\text{requires (MNa) } 412.2100. \end{split}$$

Evidence that this product was a mixture of rotamers, rather than diastereomers, was obtained in two ways: firstly, reduction (DIBAL-H) gave the alcohol **18**, which was a single diastereomer by NMR spectroscopy; secondly, treatment of **19** with DBU gave recovered **19** together with a new aldehyde with two (rotameric) singlets in the ¹H NMR spectrum for the aldehyde CH at $\delta = 9.70$ and 9.63.

Intermolecular cycloadducts 20. Sarcosine (36 mg, 0.4 mmol) and *N*-methylmaleimide (46 mg, 0.4 mmol) were added to the aldehyde **19** (73 mg, 0.19 mmol) in DMF (1 mL) and the mixture was heated at 120 °C. After 18 h, the mixture was cooled and was filtered through silica (rinsing with EtOAc). The solvent was evaporated to give the cycloadducts **20** as an oil; ¹H NMR (500 MHz, CDCl₃, mixture of 4 diastereoisomers) δ = 7.30–7.20 (m, 8H), 6.90–6.84 (m, 8H), 5.82–5.66 (m, 8H), 4.52–4.37 (m, 8H), 4.22–4.10 (m, 4H), 3.85–3.79 (m, 8H), 3.81–3.79 (m, 12H), 3.67–3.03 (m, 28H), 2.95–2.89 (m, 12H), 2.67–2.30 (m, 4H), 2.34–2.27 (m, 12H), 2.20–1.95 (m, 16H), 1.48–1.45 (m, 36H); HRMS (ES) found 528.3054, C₂₉H₄₂N₃O₆ requires (MH) 528.3074.

(2S,3R,Z)-tert-Butyl 3-(benzyloxymethyl)-2-formyl-3,4,7,8tetrahydroazocine-1(2H)-carboxylate 21. Dess-Martin periodinane (254 mg, 0.6 mmol) was added to the alcohol (OBn equivalent of OPMB compound 18 - for its preparation and characterization, see ESI[†]) (189 mg, 0.53 mmol) in CH₂Cl₂ (1.5 mL) at 0 °C and the mixture was allowed to warm to room temperature. After 4 h, NaOH (1 M, 2.0 mL) was added and the mixture was extracted with Et_2O (3 × 10 mL). The organic layers were dried (MgSO₄) and evaporated and the residue was purified by column chromatography on silica, eluting with EtOAc-petrol (15:85), to give the aldehyde **21** (131 mg, 70%) as an oil; $[\alpha]_{D}^{20}$ -10.7 (2.1, CH₂Cl₂); v_{max}/cm⁻¹ 2930, 1730, 1710, 1680; ¹H NMR (500 MHz, CDCl₃, mixture of rotamers) $\delta = 9.53$ (s, 0.5H), 9.78 (s, 0.5H), 7.28-7.19 (m, 5H), 5.83-5.65 (m, 2H), 4.57-4.52 (m, 1H), 4.43-4.34 (m, 1.5H), 4.11-4.07 (m, 0.5H), 3.78-3.76 (m, 0.5H), 3.67-3.65 (m, 0.5H), 3.63-3.62 (m, 0.5H), 3.45-3.44 (m, 0.5H), 3.16 (t, 0.5H, J 10 Hz), 3.09 (t, 0.5H, J 10 Hz), 2.67-2.37 (m, 4H), 2.14–1.97 (m, 2H), 1.37 (s, 4.5H), 1.25 (s, 4.5H); ¹³C NMR (100 MHz, CDCl₃, mixture of rotamers) $\delta = 199.5$, 198.6, 155.9, 154.8, 138.9, 138.5, 130.9, 130.4, 130.3, 129.6, 128.4, 128.3, 127.7, 127.6, 127.6, 127.4, 82.3, 80.7, 73.1, 72.8, 71.6, 71.2, 68.3, 68.0, 49.8, 49.4, 42.3, 41.5, 28.3, 28.2, 27.8, 27.6, 27.5, 27.4; HRMS (ES) found 360.2184, C₂₁H₃₀NO₄ requires (MH) 360.2175; *m/z* (ES) 384 (100%); 382 (95), 360 (20), 304 (100).

Cycloadduct 22a. NaHCO₃ (102 mg, 1.22 mmol) was added to the aldehyde **21** (97 mg, 0.27 mmol), and N-methylhydroxylamine·HCl (69 mg, 0.81 mmol) in EtOH (2.8 mL) and the mixture was heated in a sealed tube at 125 °C. After 4 h, the solvent was evaporated, H₂O (10 mL) was added and the mixture was extracted with EtOAc (3×8 mL). The organic layers were dried (MgSO₄) and the solvent was evaporated. Purification by column chromatography on silica, eluting with EtOAc-petrol (1:4), gave the cycloadduct **22a** (76 mg, 72%) as an oil; $[\alpha]_{D}^{20}$ 4.7

(1.8, CH₂Cl₂); v_{max}/cm^{-1} 2950, 1680; ¹H NMR (500 MHz, CDCl₃, mixture of rotamers) δ = 7.38–7.29 (m, 5H), 4.56–4.48 (m, 2.5H), 4.41–4.39 (m, 1H), 4.24–4.23 (m, 0.5H), 3.83–3.73 (m, 1H), 3.70–3.65 (m, 0.5H), 3.55–3.37 (m, 3.5H), 3.21–3.14 (m, 1H), 2.72 (s, 1.5H), 2.71 (s, 1.5H), 2.36 (m, 1H), 1.99-1.86 (m, 1H), 1.76–1.66 (m, 3H), 1.48 (s, 4.5H), 1.43 (s, 4.5H); ¹³C NMR (125 MHz, CDCl₃, mixture of rotamers) δ = 156.2, 155.8, 138.5, 138.3, 128.5, 128.4, 127.7, 127.6, 127.5, 79.4, 79.3, 77.7, 77.3, 74.2, 73.9, 73.8, 73.3, 73.2, 73.1, 59.8, 59.3, 49.4, 49.2, 48.7, 48.6, 47.6, 47.1, 39.6, 38.5, 29.1, 28.8, 28.6, 28.5, 25.6, 25.3; HRMS (ES) found 388.2373, C₂₂H₃₂N₂O₄ requires (M) 388.2362.

Amide product 23. TFA (3 mL, 0.4 mmol) was added to the cycloadduct 22a (127 mg, 0.33 mmol) in CH₂Cl₂ (9 mL) at room temperature. After 45 min, the solvent was evaporated, toluene (20 mL) was added and the solvent was evaporated. Purification by column chromatography on silica, eluting with CH₂Cl₂-MeOH- NH_3 (9.7:0.3:0.1), gave the secondary amine (80 mg, 84%) as an oil; $[\alpha]_{D}^{22}$ 3.9 (2.5, CH₂Cl₂); v_{max} /cm⁻¹ 3410, 2950; ¹H NMR $(500 \text{ MHz}, C_6 D_6) \delta = 8.50 (\text{br}, 1\text{H}), 7.38-7.21 (\text{m}, 5\text{H}), 4.44 (\text{d}, 1\text{H}), 4.44 (\text{d}, 1\text{H}), 6.44 (\text{d},$ J 12 Hz), 4.31 (d, 1H, J 12 Hz), 3.91 (d, 1H, J 7.5 Hz), 3.86 (dt, 1H, J 11, 2 Hz), 3.57 (ddd, 1H, J 14, 9.5.6 Hz), 3.45 (dd, 1H, J 9, 5 Hz), 3.35 (t, 1H, J 7.5 Hz), 3.23 (dd, 1H, J 9, 5 Hz), 3.17 (dt, 1H, J 14, 6 Hz), 2.87–2.84 (m, 1H), 2.68–2.64 (m, 1H), 2.62 (s, 3H), 1.55–1.32 (m, 4H);¹³C NMR (125 MHz, C₆D₆) δ = 138.7, 128.3, 128.0, 127.8, 76.2, 73.2, 72.4, 72.3, 60.6, 49.9, 47.2, 42.5, 38.2, 26.6, 25.4; HRMS (ES) found 289.1915, $C_{17}H_{25}N_2O_2$ requires (MH) 289.1916. To this amine (60 mg, 0.21 mmol) in CH₂Cl₂ (1 mL) was added DMAP (13 mg, 0.11 mmol), 4-bromobenzoyl chloride (0.12 g, 0.53 mmol) and triethylamine (0.088 mL, 0.63 mmol) at room temperature. After 2.5 h, NaHCO₃ (10 mL) was added and the mixture was extracted with Et_2O (3 × 15 mL). The organic layers were dried $(MgSO_4)$ and the solvent was evaporated to give the amide 23 (67 mg, 48%) as needles, which was recrystallized from EtOAc-Et₂O; m.p. 242–246 °C; ¹H NMR (500 MHz, CDCl₃, rotamers) $\delta =$ 8.42-8.33 (s, 1H), 7.69-7.56 (m, 4H), 7.40-7.22 (m, 7H), 7.13-7.06 (m, 2H), 4.61–4.15 (m, 4H), 3.89–3.44 (m, 2H), 3.21–3.16 (m, 1H), 3.05-3.00 (m, 1H), 2.90-2.55 (m, 1H), 2.49-2.41 (m, 1H), 2.16-2.04 (m, 2H), 1.65-1.55 (m, 1H), 1.31-1.19 (m, 2H), 0.90-0.82 (m, 1H); HRMS (ES) found 641.0679, $C_{30}H_{31}N_2O_4^{79}Br_2$ requires (MH) 641.0651; *m*/*z* (ES) 645 (50%), 643 (100), 641 (50).

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Notes and references

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